

deduction rules and each of the assumptions:

a.  $\neg\exists_x\neg\varphi \rightarrow \forall_x\varphi$  and

b.  $\neg\forall_x\neg\varphi \rightarrow \exists_x\varphi$ .

*Hint:* you can choose the variable  $x$  as any variable that does not occur in  $\varphi$ . Thus, the application of rule  $(\exists_e)$  over the existential formula  $\exists_x\varphi$  has as witness assumption  $[\varphi[x/x_0]]^w$  that has no occurrences of  $x_0$ .

In Exercise 24 we prove that there are intuitionistic derivations for  $\neg\exists_x\varphi \dashv\vdash \forall_x\neg\varphi$ . Also, in Example 12 we give an intuitionistic derivation for  $\exists_x\neg\varphi \vdash \neg\forall_x\varphi$ . Indeed, one can obtain minimal derivations for these three sequents.

**Exercise 29.** To complete  $\neg\forall_x\varphi \dashv\vdash \exists_x\neg\varphi$  (see Example 12), prove that  $\neg\forall_x\varphi \vdash \exists_x\neg\varphi$ .

## 2.4 Semantics of the Predicate Logic

As done for the propositional logic in Chapter 1, here we present the standard semantics of first-order classical logic. The semantics of the predicate logic is not a direct extension of the one of propositional logic. Although this is not surprising, since the predicate logic has a richer language, there are some interesting points concerning the differences between propositional and predicate semantics that will be examined in this section. In fact, while a propositional formula has only finitely many interpretations, a predicate formula can have infinitely many ones.

We start with an example: let  $p$  be a unary predicate symbol, and consider the formula  $\forall_x p(x)$ . The variable  $x$  ranges over a domain, say the set of natural numbers  $\mathbb{N}$ . Is this formula true or false? Certainly, it depends on how the predicate symbol  $p$  is interpreted. If one interprets  $p(x)$  as “ $x$  is a prime number”, then it is false, but if  $p(x)$  means that “ $x$  is a natural number” then it is true. Observe that the interpretation depends on the chosen domain, and hence the latter interpretation of  $p$  will be false over the domain of integers  $\mathbb{Z}$ .

This situation is similar in the propositional logic: according to the interpretation, some formulas can be either true or false. So what do we need to determine the truth value of a

predicate formula? First of all, we need a domain of concrete individuals, i.e. a non-empty set  $D$  that represents known individuals (e.g. numbers, people, organisms, etc.). Function symbols (and constants) are associated to functions in the so called *structures*:

**Definition 22** (Structure). *A structure of a first-order language  $L$  over the set  $S = (\mathbb{F}, \mathbb{P})$ , also called an  $S$ -structure, is a pair  $\langle D, \mathbf{m} \rangle$ , where  $D$  is a non-empty set and  $\mathbf{m}$  is a map defined as follows:*

1. *if  $f$  is a function symbol of arity  $n \geq 0$ , then  $\mathbf{m}(f)$  is a function from  $D^n$  to  $D$ . A function from  $D^0$  to  $D$  is simply an element of  $D$ .*
2. *if  $p$  is a predicate symbol of arity  $n > 0$ , then  $\mathbf{m}(p)$  is a subset of  $D^n$ .*

Intuitively, the set  $\mathbf{m}(p)$  contains the tuples of elements that satisfy the predicate  $p$ . As an example, consider the formula  $q(a)$ , where  $a$  is a constant, and the structure  $\langle \{0, 1\}, \mathbf{m} \rangle$ , where  $\mathbf{m}(a) = 0$  and  $\mathbf{m}(q) = \{0\}$ . The formula  $q(a)$  is true in this structure because the set  $\mathbf{m}(q)$  contains the element 0, the image of the constant  $a$  by the function  $\mathbf{m}$ . But  $q(a)$  would be false in other structures; for instance, it is false in the structure  $\langle \{0, 1\}, \mathbf{m}' \rangle$ , where  $\mathbf{m}'(a) = 0$  and  $\mathbf{m}'(q) = \emptyset$ .

If a formula contains (free) variables, such as the formula  $q(x)$ , then a special mechanism is needed to interpret variables. Variables are associated to elements of the domain  $D$  through *assignments*, that are functions from the set of variables  $\mathbb{V}$  to the domain  $D$ . So, if  $d$  is an assignment such that  $d(x) = 0$  then  $q(x)$  is true in the structure  $\langle \{0, 1\}, \mathbf{m} \rangle$  above, and if  $d'(x) = 1$  then  $q(x)$  is false.

**Definition 23** (Interpretation of terms). *An interpretation  $I$  is a pair  $\langle \langle D, \mathbf{m} \rangle, d \rangle$  containing a structure and an assignment. Given an interpretation  $I$  and a term  $t$ , the interpretation of  $t$  by  $I$ , written  $t^I$ , is inductively defined as follows:*

1. *For each variable  $x$ ,  $x^I = d(x)$ ;*
2. *For each function symbol  $f$  with arity  $n \geq 0$ ,  $f(t_1, \dots, t_n)^I = \mathbf{m}(f)(t_1^I, \dots, t_n^I)$ .*

Thus, based on the interpretations of terms, the semantics of predicate formulas concerns the truth value of a formula that can be either  $T$  (true) or  $F$  (false). This notion is formalized in the following definition.

**Definition 24** (Interpretation of Formulas). *The truth value of a predicate formula  $\varphi$  according to a given interpretation of terms  $I = \langle \langle \mathbb{D}, \mathbf{m} \rangle, d \rangle$ , denoted as  $\varphi^I$ , is inductively defined as:*

1.  $\perp^I = F$  and  $\top^I = T$ ;
2.  $p(t_1, \dots, t_n)^I = \begin{cases} T, & \text{if } (t_1^I, \dots, t_n^I) \in \mathbf{m}(p), \\ F, & \text{if } (t_1^I, \dots, t_n^I) \notin \mathbf{m}(p); \end{cases}$
3.  $(\neg\psi)^I = \begin{cases} T, & \text{if } \psi^I = F, \\ F, & \text{if } \psi^I = T; \end{cases}$
4.  $(\psi \wedge \gamma)^I = \begin{cases} T, & \text{if } \psi^I = T \text{ and } \gamma^I = T, \\ F, & \text{otherwise}; \end{cases}$
5.  $(\psi \vee \gamma)^I = \begin{cases} T, & \text{if } \psi^I = T \text{ or } \gamma^I = T, \\ F, & \text{otherwise}; \end{cases}$
6.  $(\psi \rightarrow \gamma)^I = \begin{cases} F, & \text{if } \psi^I = T \text{ and } \gamma^I = F, \\ T, & \text{otherwise}; \end{cases}$
7.  $(\forall_x \psi)^I = \begin{cases} T, & \text{if } \psi^{I_a^x} = T \text{ for every } a \in \mathbb{D}, \\ F, & \text{otherwise}; \end{cases}$
8.  $(\exists_x \psi)^I = \begin{cases} T, & \text{if } \psi^{I_a^x} = T \text{ for at least one } a \in \mathbb{D}, \\ F, & \text{otherwise}. \end{cases}$

where  $I_a^x$  denotes the interpretation  $I$  modifying its assignment  $d$ , in such a way that it maps  $x$  to  $a$ , and any other variable  $y$  to  $d(y)$ .

**Definition 25** (Models). *An interpretation  $I$  is said to be a model of  $\varphi$  if  $\varphi^I = T$ . We write  $I \models \varphi$  to denote that  $I$  is a model of  $\varphi$ .*

The notion of Model is extended to sets of formulas in a straightforward manner: If  $\Gamma$  is a set of predicate formulas then  $I$  is a model of  $\Gamma$ , denoted by  $I \models \Gamma$ , whenever  $I$  is a model of each formula in  $\Gamma$ .

**Example 14.** Let  $I$  be an interpretation with domain  $\mathbb{N}$  and  $\mathbf{m}(p) = \{(m, n) \in \mathbb{N} \times \mathbb{N} \mid m < n\}$ . Then  $I$  is a model of  $\forall_x \exists_y p(x, y)$ , denoted as  $I \models \forall_x \exists_y p(x, y)$ , because for every natural  $x$  one can find another natural  $y$  bigger than  $x$ . With similar arguments, one can conclude that  $I$  is not a model of  $\exists_x \forall_y p(x, y)$ .

**Definition 26** (Satisfiability). Let  $\varphi$  be a predicate formula. If  $\varphi$  has a model then it is said to be satisfiable; otherwise, it is unsatisfiable. This notion is also extended to sets of formulas:  $\Gamma$  is satisfiable if and only if there exists an interpretation  $I$  such that for all  $\varphi \in \Gamma$ ,  $I \models \varphi$ .

**Definition 27** (Logical consequence and Validity). Let  $\Gamma = \{\phi_1, \dots, \phi_n\}$  be a finite set of predicate formulas, and  $\varphi$  a predicate formula. We say that  $\varphi$  is a logical consequence of  $\Gamma$ , denoted as  $\Gamma \models \varphi$ , if every model of  $\Gamma$  is also a model of  $\varphi$ , i.e.  $I \models \Gamma$  implies  $I \models \varphi$ , for every interpretation  $I$ . When  $\Gamma$  is empty then  $\varphi$  is said to be valid, which is denoted as  $\models \varphi$ .

**Example 15.** We claim that  $\forall_x(p(x) \rightarrow q(x)) \models (\forall_x p(x)) \rightarrow (\forall_x q(x))$ . In fact, let  $I = \langle \langle \mathbb{D}, \mathbf{m} \rangle, d \rangle$  be a model of  $\forall_x(p(x) \rightarrow q(x))$ , i.e.,  $I \models \forall_x(p(x) \rightarrow q(x))$ . If there exists an element in the domain of  $I$  that does not satisfy the predicate  $p$  then  $\forall_x p(x)$  is false in  $I$  and hence,  $(\forall_x p(x)) \rightarrow (\forall_x q(x))$  would be true in  $I$ . Otherwise,  $I \models \forall_x p(x)$ , and hence  $I_a^x \models p(x)$ , for all  $a \in \mathbb{D}$ . Since  $I \models \forall_x(p(x) \rightarrow q(x))$ , we conclude that  $I_a^x \models q(x)$ , for all  $a \in \mathbb{D}$ . Therefore,  $I \models \forall_x q(x)$ .

The study of models can be justified by the fact that validity in a model is an invariant of provability in the sense that a sequent is provable exactly when all its interpretations are also models. This suggests a way to prove when a sequent is not provable: it is enough to find an interpretation that is not a model of the sequent. In the next section, we formalize this for the predicate logic.